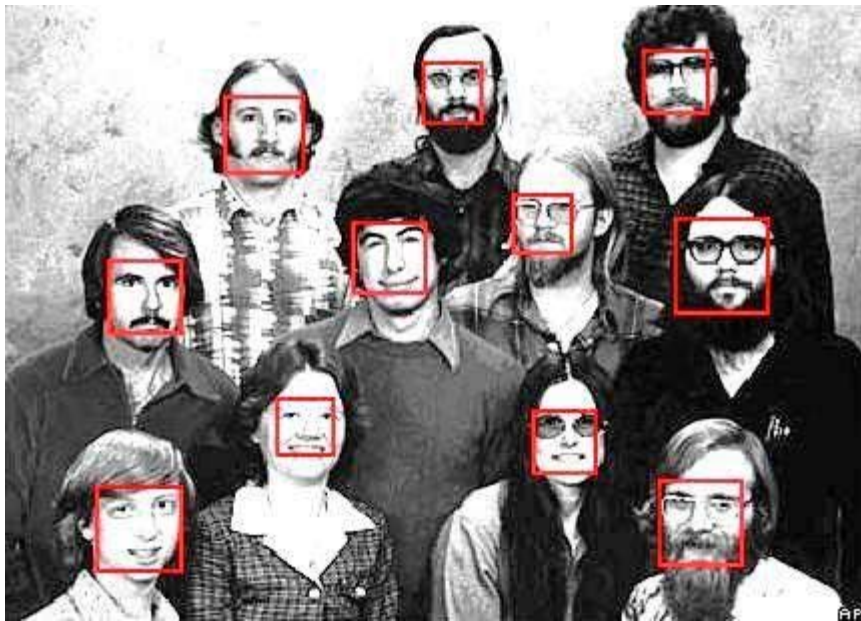


# Face detection and recognition

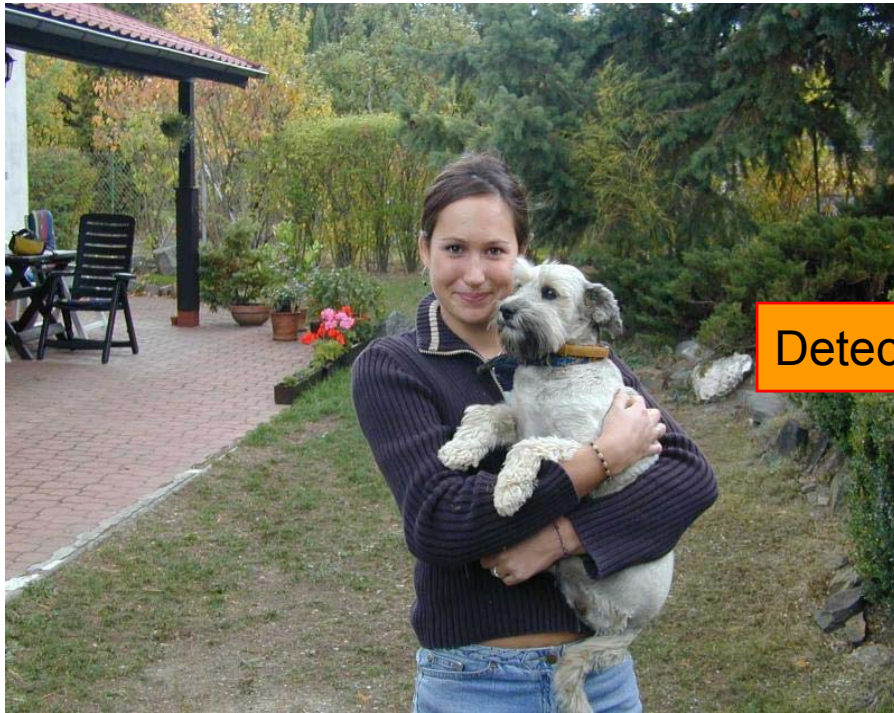
---



Many slides adapted from K. Grauman and D. Lowe

# Face detection and recognition

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Detection



Recognition

“Sally”

# Consumer application: iPhoto 2009

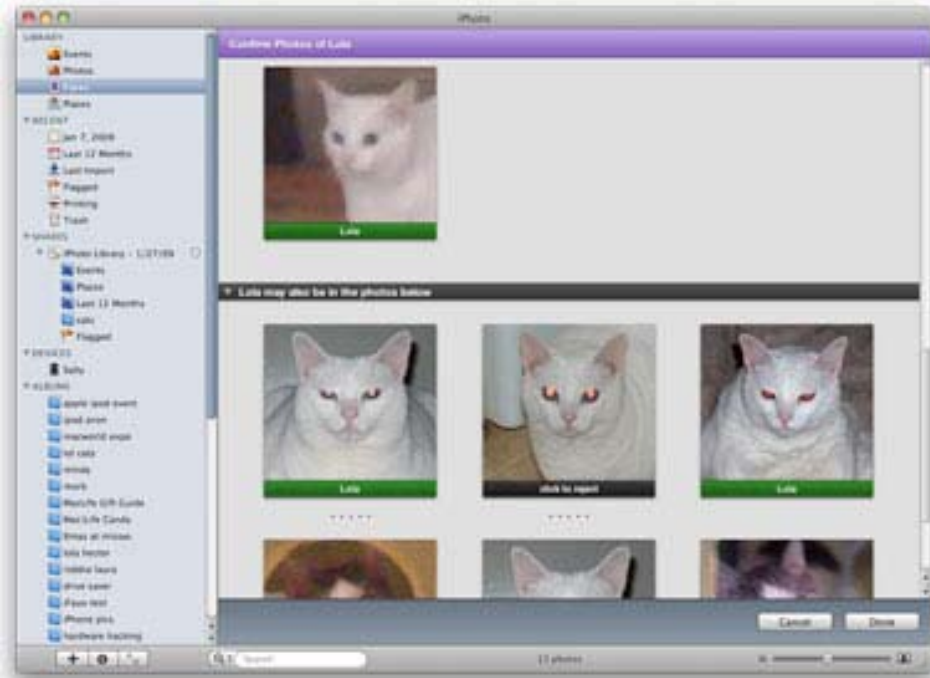


<http://www.apple.com/ilife/iphoto/>



# Consumer application: iPhoto 2009

Can be trained to recognize pets!



[http://www.maclife.com/article/news/iphotos\\_faces\\_recognizes\\_cats](http://www.maclife.com/article/news/iphotos_faces_recognizes_cats)

# Consumer application: iPhoto 2009

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## Things iPhoto thinks are faces



# Outline

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- Face recognition
  - Eigenfaces
- Face detection
  - The Viola & Jones system

# The space of all face images

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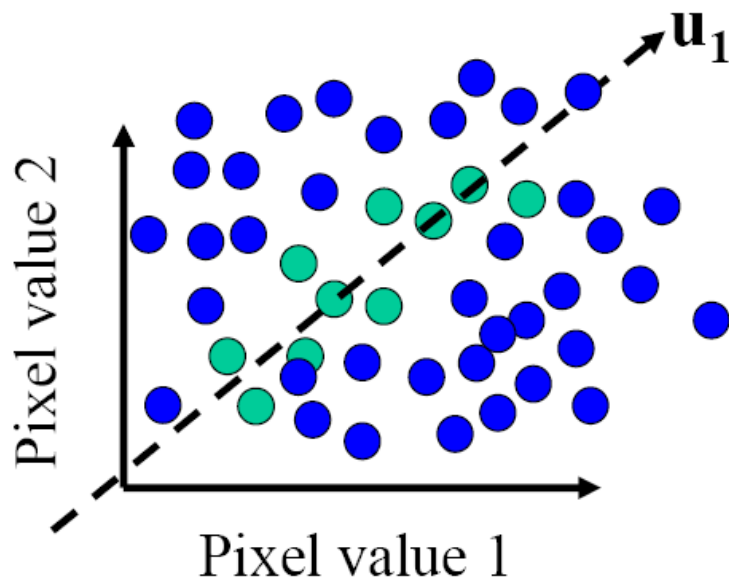
- When viewed as vectors of pixel values, face images are extremely high-dimensional
  - 100x100 image = 10,000 dimensions
- However, relatively few 10,000-dimensional vectors correspond to valid face images
- We want to effectively model the subspace of face images



# The space of all face images

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- We want to construct a low-dimensional linear subspace that best explains the variation in the set of face images



- A face image
- A (non-face) image



# Principal Component Analysis

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- Given:  $N$  data points  $\mathbf{x}_1, \dots, \mathbf{x}_N$  in  $\mathbb{R}^d$
- We want to find a new set of features that are linear combinations of original ones:

$$u(\mathbf{x}_i) = \mathbf{u}^T(\mathbf{x}_i - \boldsymbol{\mu})$$

( $\boldsymbol{\mu}$ : mean of data points)

- What unit vector  $\mathbf{u}$  in  $\mathbb{R}^d$  captures the most variance of the data?

# Principal Component Analysis

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- Direction that maximizes the variance of the projected data:

$$\begin{aligned} \text{var}(u) &= \frac{1}{N} \sum_{i=1}^N \underbrace{\mathbf{u}^T (\mathbf{x}_i - \mu) (\mathbf{u}^T (\mathbf{x}_i - \mu))^T}_{\text{Projection of data point}} \\ &= \mathbf{u}^T \underbrace{\left[ \sum_{i=1}^N (\mathbf{x}_i - \mu) (\mathbf{x}_i - \mu)^T \right]}_{\text{Covariance matrix of data}} \mathbf{u} \\ &= \mathbf{u}^T \Sigma \mathbf{u} \end{aligned}$$

The direction that maximizes the variance is the eigenvector associated with the largest eigenvalue of  $\Sigma$

# Principal component analysis

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- The direction that captures the maximum covariance of the data is the eigenvector corresponding to the largest eigenvalue of the data covariance matrix
- Furthermore, the top  $k$  orthogonal directions that capture the most variance of the data are the  $k$  eigenvectors corresponding to the  $k$  largest eigenvalues

# Eigenfaces: Key idea

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- Assume that most face images lie on a low-dimensional subspace determined by the first  $k$  ( $k < d$ ) directions of maximum variance
- Use PCA to determine the vectors or “eigenfaces”  $\mathbf{u}_1, \dots, \mathbf{u}_k$  that span that subspace
- Represent all face images in the dataset as linear combinations of eigenfaces

# Eigenfaces example

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Training  
images

$\mathbf{x}_1, \dots, \mathbf{x}_N$



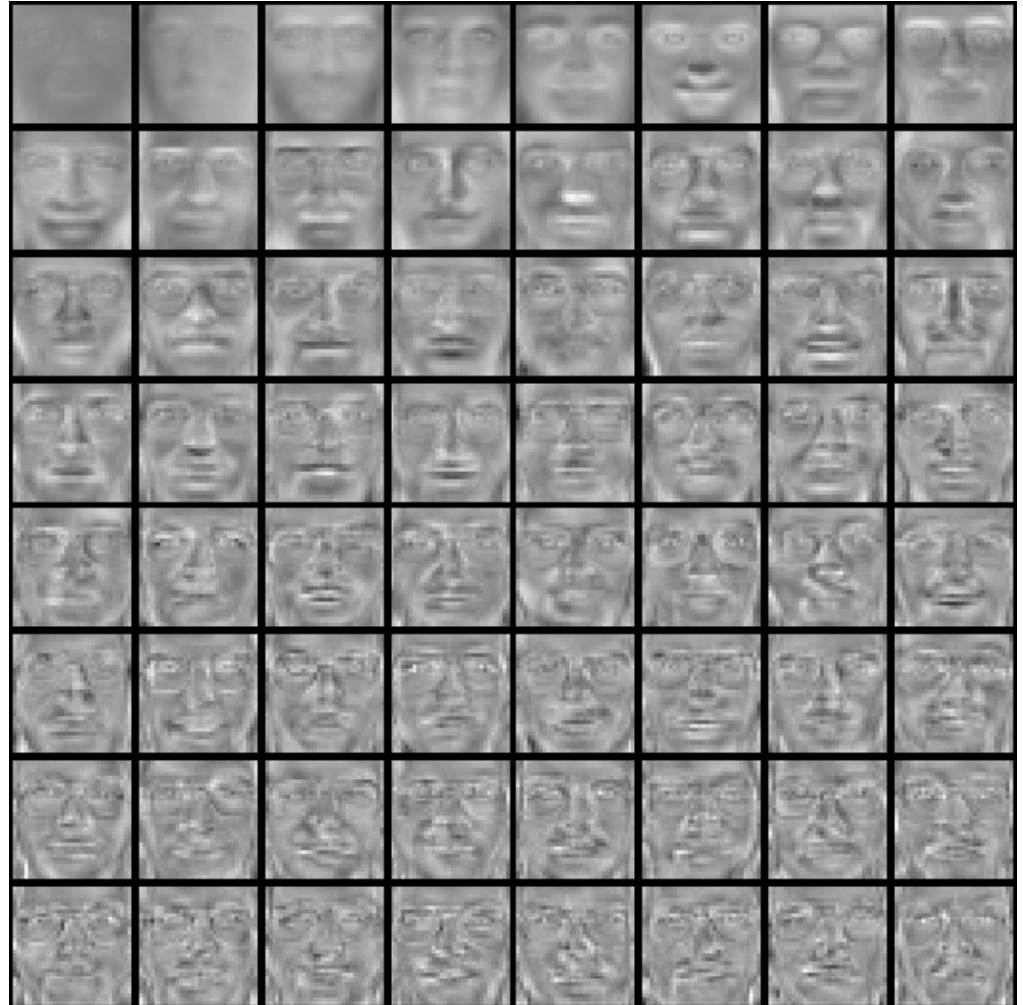


# Eigenfaces example

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Top eigenvectors:  $\mathbf{u}_1, \dots, \mathbf{u}_k$

Mean:  $\mu$



# Eigenfaces example

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Principal component (eigenvector)  $u_k$



$\mu + 3\sigma_k u_k$



$\mu - 3\sigma_k u_k$



# Eigenfaces example

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- Face  $\mathbf{x}$  in “face space” coordinates:



$$\begin{aligned}\mathbf{x} &\longrightarrow [\mathbf{u}_1^T (\mathbf{x} - \mu), \dots, \mathbf{u}_k^T (\mathbf{x} - \mu)] \\ &= w_1, \dots, w_k\end{aligned}$$

# Eigenfaces example

---

- Face  $\mathbf{x}$  in “face space” coordinates:

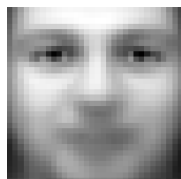


$$\mathbf{x} \rightarrow [\mathbf{u}_1^T (\mathbf{x} - \mu), \dots, \mathbf{u}_k^T (\mathbf{x} - \mu)]$$
$$= w_1, \dots, w_k$$

- Reconstruction:



=



+



$$\hat{\mathbf{x}} = \mu + w_1 \mathbf{u}_1 + w_2 \mathbf{u}_2 + w_3 \mathbf{u}_3 + w_4 \mathbf{u}_4 + \dots$$

# Reconstruction demo

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# Recognition with eigenfaces

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Process labeled training images:

- Find mean  $\boldsymbol{\mu}$  and covariance matrix  $\boldsymbol{\Sigma}$
- Find  $k$  principal components (eigenvectors of  $\boldsymbol{\Sigma}$ )  $\mathbf{u}_1, \dots, \mathbf{u}_k$
- Project each training image  $\mathbf{x}_i$  onto subspace spanned by principal components:  
 $(w_{i1}, \dots, w_{ik}) = (\mathbf{u}_1^T(\mathbf{x}_i - \boldsymbol{\mu}), \dots, \mathbf{u}_k^T(\mathbf{x}_i - \boldsymbol{\mu}))$

Given novel image  $\mathbf{x}$ :

- Project onto subspace:  
 $(w_1, \dots, w_k) = (\mathbf{u}_1^T(\mathbf{x} - \boldsymbol{\mu}), \dots, \mathbf{u}_k^T(\mathbf{x} - \boldsymbol{\mu}))$
- Optional: check reconstruction error  $\mathbf{x} - \mathbf{x}_\lambda$  to determine whether image is really a face
- Classify as closest training face in  $k$ -dimensional subspace

# Recognition demo

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# Limitations

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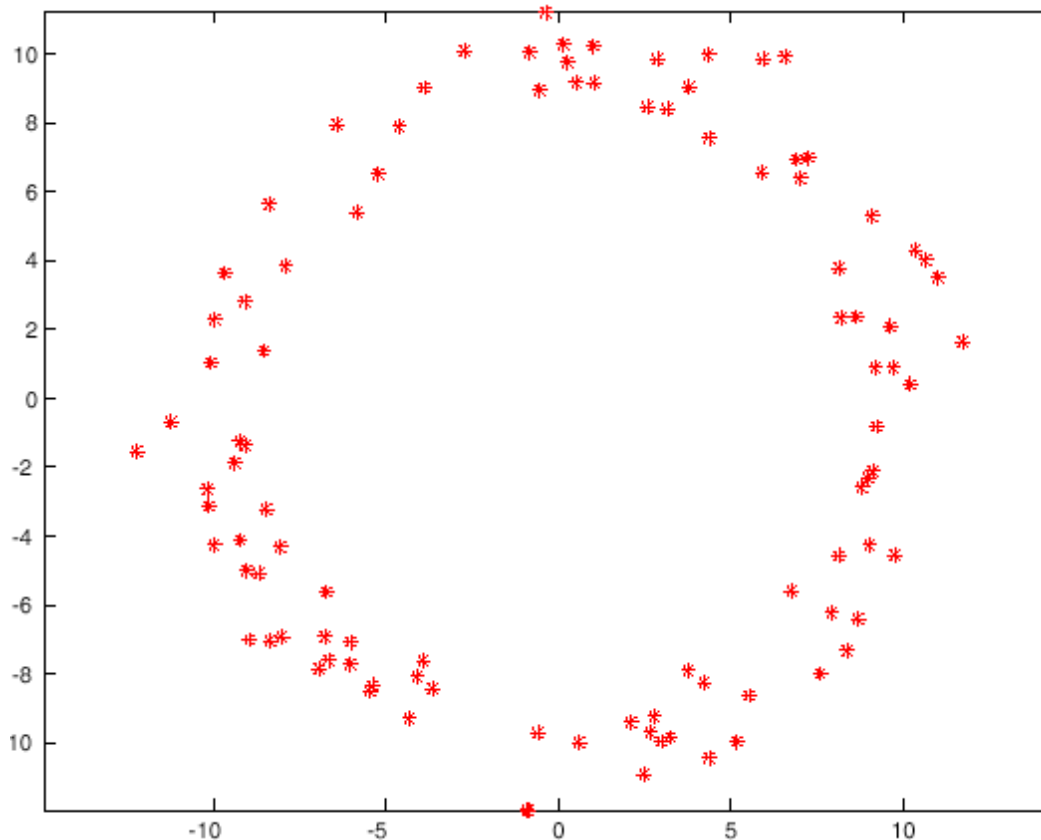
- Global appearance method: not robust to misalignment, background variation



# Limitations

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- PCA assumes that the data has a Gaussian distribution (mean  $\mu$ , covariance matrix  $\Sigma$ )



The shape of this dataset is not well described by its principal components

# Limitations

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- The direction of maximum variance is not always good for classification

